500.41086X00/W0042-01EO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant

Atsushi YOKOYAMA et al.

Serial No.

10/047,106

Filed

17 January 2002

For

ELECTRICALLY DRIVEN BRAKE DEVICE

AND CONTROL APPARATUS THEREOF

Art Unit

3683

Examiner

R. Siconolfi

Confirm No. :

5729

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents POB 1450 Alexandria, Virginia 22313-1450

16 January 2004

Sir:

In the matter of the above-identified application, Applicant hereby submits the attached information for consideration by the Office under 37 C.F.R. §§1.97 and 1.98 in the present application, and for printing on the face of any patent issuing hereon, as listed on the attached Form PTO-1449.

Also attached is a copy of a European (EP) Search Report (SR) dated 6 November 2003 which issued in a foreign application corresponding to the present US case. Applicant states that each of the following references: WO 01/62569 A1; EP 1 283 139 A2; DE 35 02 100 A1; and US 5,129,713 A, were first cited in the attached EP SR not more than three (3) months prior to the filing of this Statement.

Further attached is a copy of the article by Huang et al., "Automotive Electrical System in the New Millennium," Soc. of Automotive Engrs., SAE Paper No.

180.00 GP

1999-01-3747, pgs. 1-8, 1999. Such article was known to Applicant more than three (3) months prior to the filing of this Statement.

In accordance with MPEP §609IIIA(3), the information listed herein which is not in the English language was cited in the attached English-language EP SR which indicates the degree of relevance found by the foreign Office.

This Statement is being filed under 37 CFR §1.97(c), but although Applicant has submitted the statement required under §1.97(e)(1), the attached article from the *Soc. of Automotive Engrs*. does not qualify under §1.97(e)(1) or (2). Therefore, also attached is a Form PTO-2038 authorizing payment of the required fee under §1.97(c)(2) (Fee Code 1806). Please charge any actual shortage in fees to ATS&K Deposit Account No. 01-2135 (referencing Case No. 501.37471X00).

In view of the above and the attached, this Statement complies with all of the requirements of §§1.97 and 1.98, and Applicant respectfully requests entry and consideration of the information, and return of a copy of the attached Form PTO-1449 bearing the Examiner's initials to indicate same.

Respectfully submitted,

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Telephone 703-312-6600 Facsimile 703-312-6666

ATTACHMENTS:
Copy of EP SR
5 References
Form PTO-1449
Form PTO-2038 (Code 1806)

	Form
OIPE	·673
JAN 1 6 2	104 30FF
FIRST & TRADE	MARIO

PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DKT. NO. 500.41086X00

SERIAL NO. 10/047,106

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANTAtsushi YOKOYAMA et al.

(Use several sheets if necessary)

FILING DATE GROUP
17 January 2002 3683

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
A	Α	5,129,713	-07/14/92	Matsuda			
Α	В						
A	С						
Α	D						
A	E						
Α	F						
A	G						
Al	Н						
Α	ı						
A	J						
Al	K						
Al					1		

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document	Document Number Date C	Country	Class	Subclass	Translation/Abstract	
	Number					Yes	No
AM	35 02 100 A1	07/31/1986	DE				
AN	01/62569 A1	08/30/2001	WO				
AO	1 283 139 A2	02/12/2003	EP				
AP		7					
AQ							
AR							
AS						-	
AT	-						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	TEX 5000 MENTO (including Author, Title, Date, Pertinent Pages, Etc.)		
AU	Huang et al., "Automotive Electrical System in the New Millennium," Society of Automotive Engineers, SAE Paper No. 1999-01-3747, pgs. 1-8, 1999.		
AV			
AW			
AX			
AY			
AZ			
Examiner	Date Considered		